

ROHM AND HAAS ELECTRONIC MATERIALS

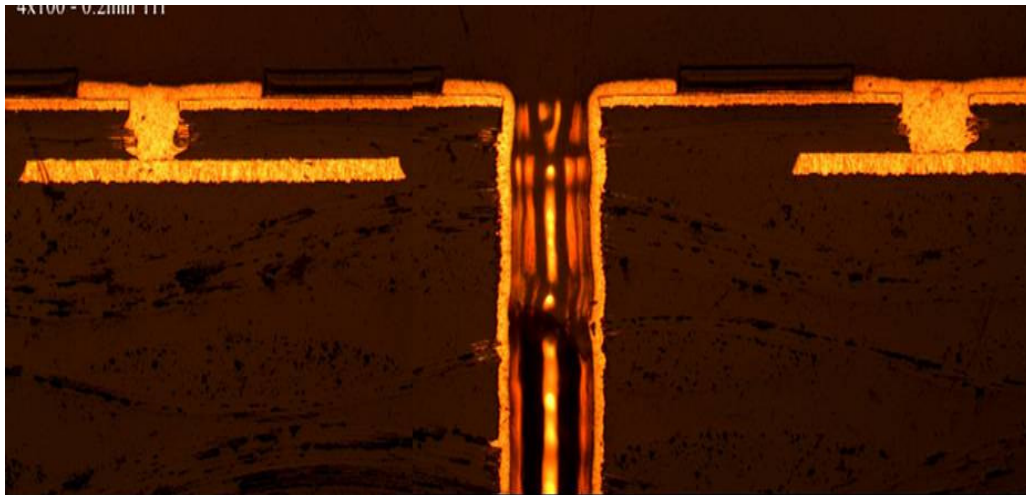
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**Next Generation Electroplating Process for HDI Microvia Filling
and Through Hole Plating**

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**Next Generation Electroplating Process for HDI Microvia Filling
and Through Hole Plating**

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ABSTRACT

As higher and higher pin-count semiconductor packages are deployed in telecommunications and data processing applications, Printed Circuit Board (PCB) substrates must evolve to allow increased routing densities. To be capable of meeting these routing density and complexity needs, higher layer counts must be combined with filled microvias. High Density Interconnect (HDI) product of this type places significant new demands on the metallization processes, in particular, copper electroplating. To meet these needs, seemingly incompatible objectives must be met. Thinner and more uniform surface copper deposits have to be produced, increasingly difficult microvia geometries must be filled, through-hole throwing power delivered, while maintaining plating rates capable of delivering production throughputs. These demands often exceed the capability of current commercial copper electroplating processes. This paper describes a new pattern-plate, Direct Current (DC) copper electroplating process designed for HDI and packaging substrate applications. Microvia filling performance, plated through hole throwing power, surface distribution / trace profile and product reliability data, as a function of a variety of processing variables is discussed.

INTRODUCTION

Driven by the need for increased speed, portability and wiring density, the interconnect pitch on semiconductor packages and the corresponding High Density Interconnect (HDI) substrates continue to shrink. The combination of filled blind microvias and build-up technology provides a means to achieve the required wiring densities. With the rapid growth of this technology, the use of electrodeposited copper for filling blind microvias has become a widely adopted process for manufacture of both HDI printed circuit boards and also semiconductor package substrates.

In order to produce the increasingly narrow line widths and spacing (L/S), required to route state of the art packages, build-up technology is undergoing a shift from subtractive techniques towards Semi-Additive Process (SAP). This shift is being driven by the inherent limitations of subtractive processes etch resolution.

In Semi-Additive processing of build-up dielectrics, a photoresist pattern is formed after initial electroless metallization of the dielectric material. Following electroplating and resist stripping, the use of a "differential etching" process allows feature formation without the use of a metal etch resist.

A modified SAP process, also referred to as Advanced-Pattern Process, is often used in HDI fabrication. In this case, a laminate coated with a thin copper foil is used for the starting material, rather than a bare dielectric.



Both of these processes are preferred over subtractive approaches due to their improved etch capability at feature dimensions below 40 microns.

Table 1. Process technology L/S comparison

	Subtractive Process	Pattern Process	Advanced-Pattern Process	Semi-Additive Process
Dielectric Materials	BT / FR-4 / FR-5	BT / FR-4 / FR-5	BT / FR-4 / FR-5	ABF
Cu fall thickness	12 μm	12 μm	3 μm	0 μm
LS@15 μmCu	~40/40 μm	~35/35 μm	~20/20 μm	~15/15 μm
LS@25 μmCu	~75/75 μm	~50/50 μm	~35/35 μm	-

With feature dimensions become smaller (both microvia diameter and trace width), and the increased reliance on pattern plating processes, the ability of copper electroplating processes to consistently produce void-free copper filled microvias, while still producing traces with acceptable cross sectional profiles, comes under increasing pressure.

This article introduces a novel copper electroplating process, capable of meeting the most demanding targets for copper via fill and trace profile. The relationships between key variables and performance will be described.

COPPER ELECTROPLATING

The vast majority of via fill electroplating baths are based on electrolytes consisting of copper sulfate and sulfuric acid. Combining low cost and convenient operation, these sulfate based systems are a well established technology, having now been used in the PCB industry for over 50 years and for via fill applications for over 10 years

INORGANIC COMPONENTS

A typical acid sulfate system contains copper sulfate (the primary source of cupric ions), sulfuric acid (for solution

conductivity) and chloride ion (as a co-suppressor). Of these components, copper sulfate, typically at concentrations above 200 g/L, has the most significant affect on via filling ability.

ORGANIC COMPONENTS

Acid copper sulfate system operated without additives typically yield deposits of poor physical properties. Organic additives, typically consisting of materials described as brighteners, suppressors and levelers, are therefore used to further refine deposit characteristics.

Suppressors, also referred to as carriers or inhibitors, are typically large molecular weight polymers that work in conjunction with small amounts of chloride to form a surface film on the plating surface, which retards the plating reaction. This limits the lifetime of individual growing grains, causing the deposit grain size to become smaller than that obtained without carrier. Carriers are present in relatively high concentration (500 – 3000 g/L) and show relatively low sensitivity to variations in the rate of mass transfer to the surface. However, in the absence of additional additives, deposits from such formulations do not have smooth, bright surfaces.

Brighteners are typically small molecular weight sulfur-containing compounds that locally increase the plating reaction by displacing adsorbed carrier. The impacts of brightener additions occur preferentially at points of lower field density, typically in surface recesses or at the bottoms of vias or trenches. The function of the brightener is to locally accelerate the rate of the copper plating reaction and further refine the grain size of the deposit.

Levelers, a further class of additives, act as selective suppressors and typically operate at low concentration (< 10 ppm). At these low concentrations, the activity of levelers is much more mass transfer dependent than that of carriers, with the consequence that less isolated locations (such as the panel surface) are more suppressed than more isolated locations (such as the interior surfaces of vias and recesses within via hole walls).

BOTTOM-UP FILL MECHANISM

In order for blind vias to be filled with a high quality continuous copper deposit, the plating rate within an individual via must vary. The plating rate at the base of the via must be substantially faster than that of the remaining areas, in order to avoid premature closure of the mouth of the via opening and the consequent formation of voids or seams.

Accelerated bottom-up filling has been attributed to the mode of action of the organic additive system (1). The suppressor or carrier forms a current inhibiting film on the Cu surface. This film forms uniformly at all locations, assisted by the high solution concentration of suppressor. The accelerated bottom-up filling (i.e. "superfilling") is driven by brightener concentration enhancement at the base of the feature (via or trench) during the plating process. Progressive reductions in surface area of via bottoms during deposition "squeeze" the brightener into ever decreasing areas. This localized concentration of brightener further accelerates the plating rate relative to the surface. The leveler acts to suppress the plating at the corners of vias, and aid in reducing the formation of a void. In order to maintain bottom-up filling behavior, brightener concentration must be controlled within specified limits.

VIA FILL AND SURFACE PLANARITY METRICS

Via filling performance may be characterized by a number of related metrics. Percent via fill (% VF) and "dimple depth" have been perhaps the most commonly used metrics used to quantify via filling performance. The relative deposition thickness (RDT) is a more recently developed metric (2). Defined as the ratio of the fill thickness and the copper thickness plated on the board surface, it is an improved indicator of filling performance. A conformal plating process will give an RDT value of 1, based on the definition shown in Fig. 1. However, even a plating formula with a filling capability of 100% may exhibit a low RDT value, perhaps as low as 2 or 3, meaning that, while the plating formula is able to fill the microvia in a bottom-up mode, the required surface thickness buildup of copper will be excessive. Higher surface thicknesses of copper detract from the ability to subsequently etch fine circuit traces.

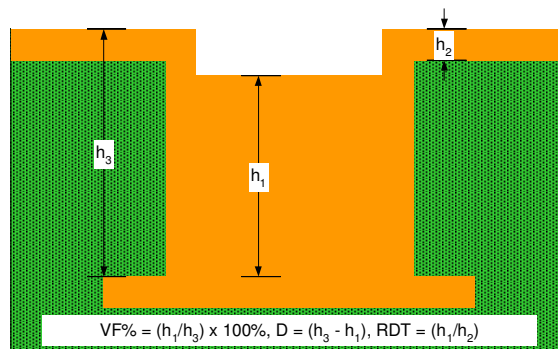


Figure 1. Definition of percent via fill (VF%), dimple depth (D) and relative deposition thickness (RDT).

In addition, for pattern plate processes, individual pad and trace features will not be perfectly flat. Trace profile is used as the general term to describe such deviations from planarity. Depending on the chemistry and process conditions,

either convex or concave profiles may be formed. Customer specifications for trace profile are typically expressed as the maximum difference in height between the edge and center of a feature.

Customers are demanding continuously improved surface planarity, whether expressed as reduced dimple depth, improved trace profile or better surface distribution.

Poor planarity can potentially impact reliability in a number of ways. Dimpling can increase the effective aspect ratio of the next via formed within a stack, may lead to interfacial voiding during subsequent application of build-up dielectric, and increased risk of solder joint voiding on via in pad structures. Poor feature profile or surface distribution may lead to unacceptable variability in trace impedance, adversely affect solder mask application and may also affect wire bond consistency.

Commercially desirable via filling processes will therefore demonstrate a combination of high fill %, low dimple and feature profile, with highly uniform surface distribution. The exact target values for these metrics will depend upon specific application and end-user requirements.

Next generation HDI via filling systems should be capable of plating as wide as possible range of products with a single process chemistry, regardless of microvia dimension or aspect ratio. Performance for different classes of product can be optimized by using specific recipes of current density and/or solution flow.

However applications requiring only via fill can be further optimized by altering the electrolyte composition.

IMPACT OF SUBSTRATE CONDITION AND PRETREATMENT

In addition to process chemistry formulation and bath composition,

complete, void free filling of blind microvias is also affected by substrate material and via profile, thickness, uniformity and oxidation of conductive seed layer and the pretreatment process.

A 'V'-shaped via, with uniform sidewalls free of overhang or protruding glass fibers, promotes consistent seed layer formation and enhances subsequent electrolytic copper via fill. A range of via profiles is given in the schematic below, arranged to show increasing filling difficulty from left to right.

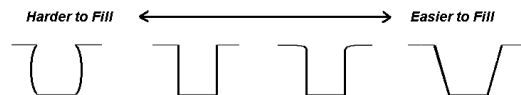


Figure 2. Microvia profile effect on ease of filling

The thickness, coverage and surface condition of the electroless copper has a profound impact on copper via filling capability. Discontinuous or overly thin electroless copper deposits will not fill as reliably as thicker, more uniform deposits. In general, uniform electroless copper deposits $> 0.3 \mu\text{m}$ are required for reliable filling.

Oxidation of electroless copper can also adversely affect via fill. Previous studies showed that substrates processed with electroless copper and subsequently baked at 120°C for several hours, failed to exhibit bottom-up fill behavior when subsequently electroplated, while panels from the same lot that were plated at the same conditions, but were not baked, exhibited normal bottom-up copper fill.(3)

Adding a conformal electrodeposited copper flash of $3 - 5 \mu\text{m}$ after electroless copper has also been shown to

significantly improve process consistency, as shown by an increased tolerance to variation in hold time prior to copper via fill, as well as an improvement in overall via fill uniformity.

Proper control of pretreatment processes also plays an important role in achieving good via filling yield. A typical process sequence uses acid cleaner, micro-etching and acid dip steps to ensure that copper surfaces are completely wetted and free of contamination or surface oxidation prior to the subsequent copper plating step.

IMPACT OF MASS TRANSPORT AND CURRENT DENSITY

In addition to process chemistry and substrate condition, mass transport and current density has a significant impact on via filling capability. As mentioned earlier, correct selection of these parameters will widen the range of microvia dimension and aspect ratio that can be produced by a single process chemistry. To understand how to best exploit these parameters, extensive testing to characterize the effects of solution agitation and current density on viafilling was conducted.

In general, lower levels of solution flow were found to improve via filling performance, particularly in large diameter vias (100 μm or above). However, this improvement comes at the price of increased risk of improperly filled smaller diameter vias (75 μm or less). Improper fill may lead to defects ranging from “seams” within the plated deposit, to completely voided vias. The consequence of this behavior is that solution flow must be carefully chosen to achieve the best balance between levels of fill and plating quality for the specific applications being run.

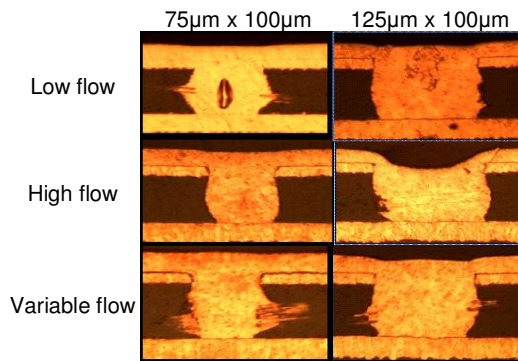


Figure 3. Via fill as a function of solution flow rate (at 1.8 ASD and 20 μm copper thickness)

The effects of current density are somewhat less complex. Lower current density will both enhance via filling performance and also produce product with lower levels of improperly filled vias. However, the impact of current density is strongest at the very early stages of via filling. Once vias have been partially filled, the current density may be raised without adverse effects.

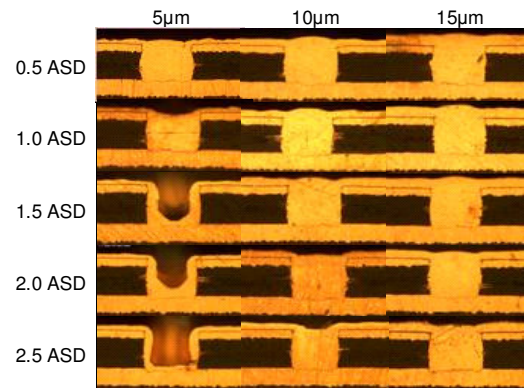


Figure 4. Via fill as a function of current density and deposition thickness (for 100 μm diameter x 60 μm deep via)

The use of a current density ramp from low to high, to provide the best balance between filling performance and process productivity, takes advantage of this effect.

Use of even more complex operating schemes, incorporating both variable flow and current density at different times in the plating cycle, can further improve via filling quality and raise overall production throughput.

PRODUCTION EQUIPMENT

A wide variety of system design features that further enhance via filling performance may be incorporated in both batch and continuous conveyerized plating equipment. These include the use of insoluble anodes and engineered fluid delivery devices such as eductors or nozzles designed to create impinging flow on panel surfaces. Insoluble anodes improve plating uniformity by presenting a more stable anode profile over time than copper anodes. Coupled with increased solution flow, insoluble anodes also allow the use of higher operating current densities.

Considering all the different factors that influence process selection for copper via filling, careful design and selection of plating equipment with new process chemistry can provide the end user high process capability with attractive equipment cost.

PROCESS CAPABILITY – VIA FILL

For HDI applications, combining filled micro vias with conventional through holes, a proper combination of via filling and throwing power is critical.

Electrolytes optimized for micro via filling require high copper concentrations. As a result, these baths must contain lower acid concentrations, to solubilize the copper.

Electrolytes optimized for throwing power normally contain lower copper concentrations and higher concentrations of acid.

The compositions of via filling baths which must also plate through holes are slightly adjusted from the ideal compositions for via filling performance, so as to achieve sufficient through hole throwing power.

Figures 5 and 6 show the effect of copper sulfate concentration on via filling performance and through hole throwing power. From this data, it can be seen that reducing the copper sulfate concentration from 220 to 200 g/L significantly improves through hole throwing power while having only a minor impact on via filling.

For HDI applications involving both microvias and through holes, an electrolyte containing 200 g/L is therefore preferred. For applications without through holes, an additional benefit can be obtained by using an electrolyte containing 220 g/L copper sulfate.

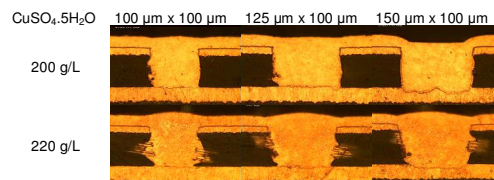


Figure 5. Via fill as a function of $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ concentration (at 1.8 ASD and 20 μm copper thickness)

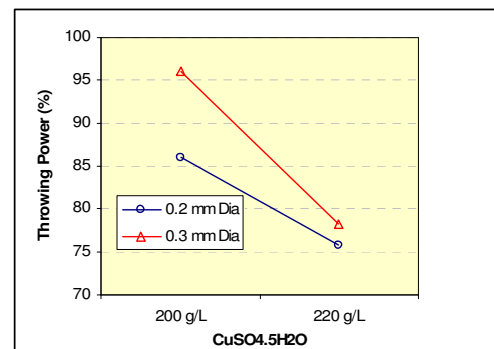


Figure 6. Through hole throwing power as a function of $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ concentration (at 1.8 ASD and 20 μm copper thickness)

PROCESS PERFORMANCE FOR HDI APPLICATIONS (MICROVIAS AND THROUGH HOLES)

These applications require excellent micro via filling performance combined with strong through hole throwing power. These targets must be achieved while meeting surface planarity and distribution requirements. Bath performance must also be maintained as the bath is cycled.

Figure 7 demonstrates the broad via filling capability of the process that has been developed. A dimple depth of less than 10 μm is achieved across a wide range of microvia dimensions with a deposition thickness of 20 μm .

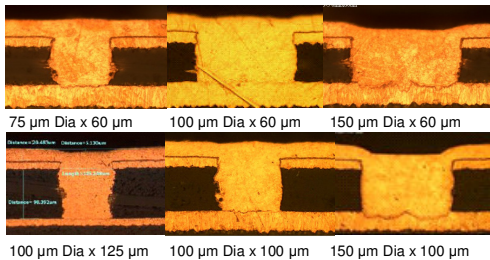


Figure 7. Fill performance over a range of microvia dimensions, (at 1.8 ASD and 20 μm copper thickness)

The impact of bath aging on 125 μm diameter microvias shown in Fig. 8. Test panels were processed in a production scale plating cell at bath ages from 0 to more than 200 Ah/L. Consistent via fill was maintained throughout the test across a full range of microvia dimensions.

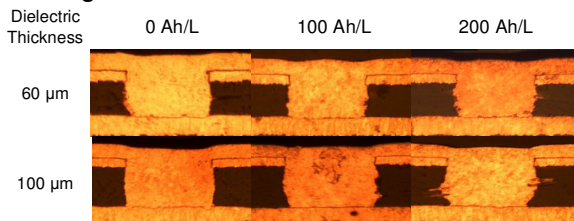


Figure 8. Fill of 125 μm diameter microvias as function of bath age and dielectric

thickness, (at 1.8 ASD and 20 μm copper thickness)

HDI substrates of this type are commonly intended for applications where minimum size and weight is critical. Typical substrates are in the 1.0 mm thick range and contain hole sizes as small as 0.15 mm

Figure 9 shows the throwing power performance of the system for hole sizes from 0.2 to 0.3 mm in a 1 mm thick panel in a vertical batch jet agitation plating cell. Even at a current density of 2.5 ASD, excellent throwing power is achieved.

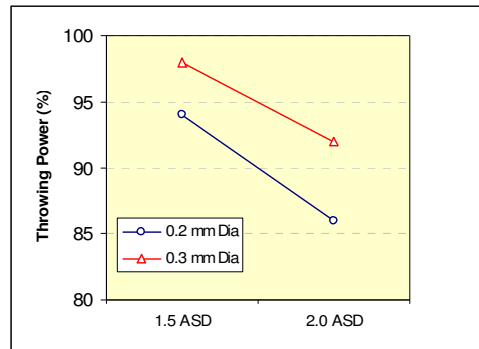


Figure 9. Through hole throwing power as a function of current density and hole diameter in vertical batch jet plating cell (for 1.0 mm thick panel at 20 μm thickness)

However, throwing power has been found to be substantially higher in vertical conveyORIZED plating systems than in batch plating cells, and also to be relatively insensitive to current density. Fig. 10 shows throwing power data for 0.15 mm and 0.25 mm diameter holes in a 1.0 mm thick panel obtained from this type of equipment. Microvia filling performance was unaffected by equipment type, giving essentially identical results in both

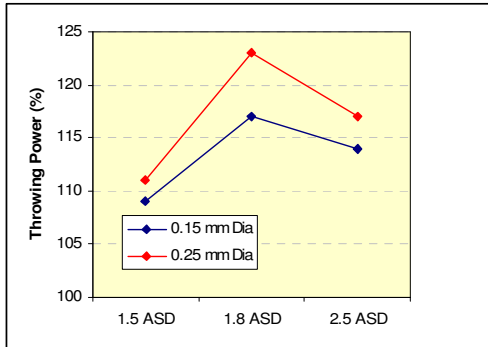


Figure 10. Through hole throwing power as a function of current density and hole diameter in vertical conveyorized plating cell (for 1.0 mm thick panel at 20 μm thickness)

While first generation microvia filling processes were intended for panel plate processes, and were generally not suitable for pattern plate process applications, this process may be used in either panel or pattern plate processes.

Figure 11 demonstrates the capability of the process to simultaneously fill microvias and plate through holes when used in a pattern plate mode.

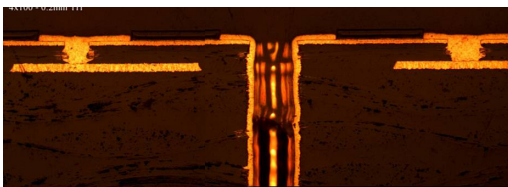


Figure 11. Through hole throwing power > 80% (for 5:1 AR through hole at 1.8 ASD and 20μm copper thickness)

The typical line/trace profiles that can be achieved with the novel via fill process are shown below. These profiles show excellent planarity.

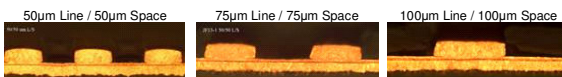


Figure 12. Effect of trace width and space on profile (at 1.8 ASD and 20μm copper thickness)

Table 2. Through hole and microvia interconnect reliability

Test	0 Ah/L		100 Ah/L		200 Ah/L	
	1.5 ASD	2.0 ASD	1.5 ASD	2.0 ASD	1.5 ASD	2.0 ASD
Solder Float (288h/6 cycle)	0/520 TH	0/520 TH	0/520 TH	0/520 TH	0/520 TH	0/520 TH
	0/240 MH	0/240 MH	0/240 MH	0/240 MH	0/240 MH	0/240 MH

Table 3 shows the consistent deposit physical properties from a bath cycled in excess of 200 Ah/L

Table 3. Deposit physical properties as a function of current density (>200 Ah/L)

Current Density (ASD)	Tensile strength (N/mm ²)	Elongation (%)
1.5	303.9	19.0
2.0	305.5	19.1

MICROVIA FILL WITHOUT THROUGH HOLE PLATING

For those applications that do not require through hole plating, microvia performance may be further enhanced by increasing the copper concentration from 200 to 220 g/L copper sulfate. Even at copper deposition thicknesses as low as 10 to 12 μm and at current densities as high as 2.5 ASD, excellent fill performance can be achieved.

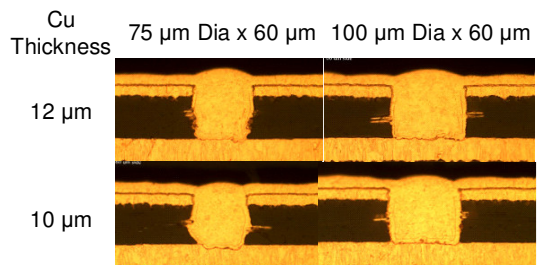


Figure 13. Via fill as a function of Cu plating thickness, (at 2.5 ASD)

SUMMARY

The improved performance of a second generation via filling process provides previously unattainable levels of microvia filling and surface planarity, combined with pattern plate compatibility. In addition operating parameters can be further adjusted to maximize performance for specific end user requirements.

Combining these newly developed electroplating products with production equipment specifically engineered for via fill, provides fabricators with a system capable of meeting current needs for IC package and HDI substrate and also satisfying future roadmap targets, using proven technology for mass production of electrodeposited micro via filling.

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